



Sector 4

Docket: 6944.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Badri N. Prasad	
Application No.:	09/733,215	
Filing Date:	December 8, 2000	Examiner: Unknown
Title:	METHOD FOR HIGH-RISK MEMBER IDENTIFICATION	Group Art Unit: 2166

**FILING OF MISSING PARTS  
OF APPLICATION UNDER 37 CFR §1.53(f)**

Box: Missing Parts

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice To File Missing Parts of Application--Filing Date Granted, mailed February 5, 2001, the following are enclosed pursuant to 37 CFR § 1.53(f):

1. Fee Transmittal sheet (1 pg., in duplicate);
2. Check # 864695 for \$1,100.00;
3. Required copy of the Notice to File Missing Parts of Application (2 pgs);
4. Signed original Declaration and Power of Attorney (3 pgs); and
5. Submission of Formal Drawings (including 9 sheets of drawings).

Respectfully submitted,

By Jason R. Kraus

Jason R. Kraus  
Registration No. 42,765  
DORSEY & WHITNEY LLP  
Pillsbury Center South  
220 South Sixth Street  
Minneapolis, Minnesota 55402-1498  
Telephone: 612-340-5617

I hereby certify that the document is being deposited with the United States Postal Service as first Class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

March 7, 2001

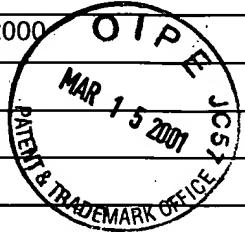
(Date of Deposit) by \_\_\_\_\_ Dena Rootes \_\_\_\_\_ (Name)

Dena Rootes  
Signature

March 7, 2001  
Date of Signature

**APPLICATION  
FEE TRANSMITTAL SHEET  
(FOR FY 2001)**

Complete if Known	
Application No.	09/733,215
Filing Date	December 8, 2000
First Named Inventor	Badri Prasad
Group Art Unit	2166
Examiner Name	Unknown
Atty. Docket Number	6944.00



METHOD OF PAYMENT (Check One)				FEE CALCULATION (Continued)																																																																																																																																																																				
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to: Deposit Account No.: 04-1420 Deposit Account Name: DORSEY & WHITNEY LLP				<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th></th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - Late filing fee or oath</td> <td>130.00</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For Filing a Request for Reexamination</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>390</td> <td>216</td> <td>195</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>890</td> <td>217</td> <td>445</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,390</td> <td>218</td> <td>695</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,890</td> <td>280</td> <td>945</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>120</td> <td>310</td> <td>220</td> <td>155</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>260</td> <td>270</td> <td>135</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>148</td> <td>110</td> <td>248</td> <td>55</td> <td>Terminal Disclaimer Fee</td> <td></td> </tr> <tr> <td>140</td> <td>10</td> <td>240</td> <td>55</td> <td>Petition to revive – unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,240</td> <td>241</td> <td>620</td> <td>Petition to revive – unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,240</td> <td>242</td> <td>620</td> <td>Utility/Reissue issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>143</td> <td>440</td> <td>243</td> <td>220</td> <td>Design issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>180</td> <td>126</td> <td>180</td> <td>Submission of IDS</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>81</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>179</td> <td>710</td> <td>279</td> <td>355</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td colspan="4">Other fee (specify)</td> <td colspan="4"></td> </tr> <tr> <td colspan="4"></td> <td colspan="4">Subtotal (3) 130.00</td> </tr> <tr> <td colspan="4"></td> <td colspan="4">Total Amount of Payment: 1100.00</td> </tr> </tbody> </table>				Large Entity		Small Entity			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid	105	130	205	65	Surcharge - Late filing fee or oath	130.00	127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For Filing a Request for Reexamination		115	110	215	55	Extension for reply within first month		116	390	216	195	Extension for reply within second month		117	890	217	445	Extension for reply within third month		118	1,390	218	695	Extension for reply within fourth month		128	1,890	280	945	Extension for reply within fifth month		120	310	220	155	Filing a brief in support of an appeal		121	260	270	135	Request for oral hearing		148	110	248	55	Terminal Disclaimer Fee		140	10	240	55	Petition to revive – unavoidable		141	1,240	241	620	Petition to revive – unintentional		142	1,240	242	620	Utility/Reissue issue fee (inc. advance copies)		143	440	243	220	Design issue fee (inc. advance copies)		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	180	126	180	Submission of IDS		581	40	81	40	Recording each patent assignment per property (times number of properties)		179	710	279	355	Request for Continued Examination (RCE)		Other fee (specify)												Subtotal (3) 130.00								Total Amount of Payment: 1100.00			
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Submitted by:

Name: Jason R. Kraus

Reg. No.: 42,765

Telephone: (612) 340-5617

Signature:

Date: 3-7-01



Docket: 6944.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Badri N. Prasad	
Application No.:	09/733,215	
Filing Date:	December 8, 2000	Examiner: Unknown
Title:	METHOD FOR HIGH-RISK MEMBER IDENTIFICATION	Group Art Unit: 2166

**SUBMISSION OF FORMAL DRAWINGS  
(UNDER 37 C.F.R. 1.85)**

Box: Missing Parts

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The attached nine sheets of formal drawings (FIGS. 1-9), in compliance with 37 C.F.R. 1.84, are submitted pursuant to 37 C.F.R. 1.85. Please substitute the attached formal drawings (FIGS. 1-9) for the informal drawings (FIGS. 1-9), previously filed.

Respectfully submitted,

Date: March 7, 2001

By

  
Jason R. Kraus  
Registration No. 42,765  
DORSEY & WHITNEY LLP  
Pillsbury Center South  
220 South Sixth Street  
Minneapolis, Minnesota 55402-1498  
Telephone: 612-340-5617

I hereby certify that the document is being deposited with the United States Postal Service as first Class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

March 7, 2001 (Date of Deposit) by Dena Rootes (Name)

  
Signature

March 7, 2001  
Date of Signature



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UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/733,215	12/08/2000	Badri N. Prasad	6944

CONFIRMATION NO. 3483

FORMALITIES LETTER



\*OC000000005734620\*

Jason R. Kraus  
220 South Sixth Street  
Minneapolis, MN 55402-1498

Date Mailed: 02/05/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

03/16/2001 BNGUYEN1 00000017 09733215

01 FC:101	710.00 QP	FILED UNDER 37 CFR 1.53(b)
02 FC:103	180.00 QP	
03 FC:102	80.00 QP	
04 FC:105	130.00 QP	<i>Filing Date Granted</i>

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$260.
  - \$180 for 10 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1100.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a

bottom margin of at least 1.0 cm. (3/8 inch);

---

*Julie A. M.*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE